



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Evans**

Serial No.: **10/092,867**

Filed: **March 7, 2002**

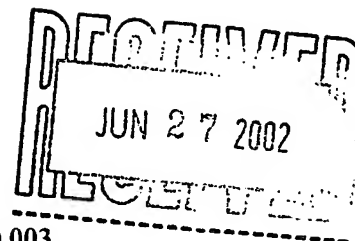
For: **Laterally Coupled Wave Guides**

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Group Art Unit: **2874**

Examiner: **Unknown**

Attorney Docket No.: **BPHOTO.003**



Certificate of Mailing Under 37 C.F.R. § 1.8(a)

I hereby certify this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on June 20, 2002.

By: _____

Dell Whitton

TRANSMITTAL DOCUMENT

Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

ENCLOSED HEREWITH:

- Information Disclosure Statement;
- Form PTO-1449;
- References AA-AO; and
- Our return postcard.

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No fees are believed to be necessary. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to Deposit Account No. 50-0392. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to Deposit Account No. 50-0392.

Respectfully submitted,


Duke W. Yee

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ATTORNEY FOR APPLICANT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Hon. Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

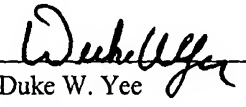
Please charge any fees necessary for prosecution of the present application to Deposit Account No. 50-0392. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 50-0392.

In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) within three months of the filing date of the application, or before the mailing date of a first office action on the merits. No fee is required.

Respectfully submitted,

Date: 6/20/02


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Form PTO-1449 LIST OF PRIOR ART CITED BY APPLICANT <i>(Use several sheets if necessary)</i>			ATTORNEY DOCKET NO. BPHOTO.003		SERIAL NO. 10/092,867	
			APPLICANT Evans			
			FILING DATE March 7, 2002		GROUP ART UNIT 2874	
U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE	
	AA 5,673,284	Sep. 30, 1997	Congdon et al.	372/50	Aug. 21, 1996	
	AB 4,919,507	Apr. 24, 1990	Evans et al.	350/96.19	May 10, 1989	
	AC 6,064,783	May 16, 2000	Congdon et al.	385/15	May 25, 1994	
	AD 4,958,357	Sep. 18, 1990	Kinoshita	372/96	Dec. 19, 1988	
	AE 5,970,081	Oct. 19, 1999	Hirayama et al.	372/96	Sep. 17, 1997	
	AF 4,006,432	Feb. 1, 1977	Streifer et al.	331/94.5 C	Oct. 15, 1974	
FOREIGN PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO	
OTHER PRIOR ART <i>(including author, title, date, pertinent page, etc.)</i>						
	AG	"Surface Emitting Semiconductor Lasers and Arrays", Ed. Evans and Hammer, Academic Press, 1993. ✓				
	AH	"Optimized Couplers Between Junction Lasers and Single Mode Fibers", Hammer, Neil, RCA Laboratories, Princeton, NJ, Final Report, 31 August 1981 – 31 January 1983. ✓				
	AI	"Observations and Theory of High Power Butt Coupling to LiNbO ³ -type Waaveguides", Hammer and Neil, IEEE J. Quantum Electronics, QE-18, 1751-1758, Oct. 1982. ✓				
	AJ	"Bow-Tie Surface Emitting Lasers", Bedford, R., Luo, H., Fallahi, M., IEEE Photonics Tech. Let., Vol. 12, No. 8, August 2000. ✓				
	AK	"Surface-Emitting Distributed Feedback Quantum-Cascade Lasers", Schrenk, W. et al., Applied Physics Letters, Vol. 77, No. 14, Oct. 2, 2000. ✓				
	AL	"Analysis of Grating Surface Emitting Lasers", Noll, R.J., Macomber, S.H., IEEE Journal of Quantum Electronics, Vol. 26, No. 3, March 1990. ✓				
	AM	"Facetless Bragg Reflector Surface-Emitting AlGaAs/GaAs Lasers Fabricated by Electron-Beam Lithography and Chemically Assisted Ion-Beam Etching", Tiberio, R.G., et al., J.Vac.Sci.Technol. B9 (6), Nov/Dec 1991. ✓				
	AN	"Laser Diode End Fire Coupling into Ti:LiNbO ₃ Waveguides", Burns, Appl. Optics, 18, 2536-2537, Aug. 1979. ✓				
	AO	"Research Toward Optical Fiber Transmission Systems Part 1, Miller et al., "Proc. IEEE, 61, 1703-1751, Dec. 1973. ✓				
DATE CONSIDERED			EXAMINER			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						